

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number	10599858
Filing Date	2006-10-12
First Named Inventor	BAKER
Art Unit	
Examiner Name	
Attorney Docket Number	GB040090

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/N.S./	1	4595810		1986-06-17	BARNES, R.	
/N.S./	2	4458980		1984-07-10	SONY CORPORATION	
/N.S./	3	4440839		1984-04-03	MOTTIER, F.	
/N.S./	4	4907847		1990-03-13	NEC HOME ELECTRONICS LTD.	

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10599858	10599858 - GAU: 4172
Filing Date		2006-10-12	
First Named Inventor	BAKER		
Art Unit			
Examiner Name			
Attorney Docket Number	GB040090		

/N.S./	1	GB2109583		A	1983-06-02	SONY CORPORATION		<input type="checkbox"/>
/N.S./	2	DE3404673		A1	1985-08-14	ELSNER ET AL		<input type="checkbox"/>
/N.S./	3	WO2004089030		A1	2004-10-14	LEE ET AL		<input type="checkbox"/>
/N.S./	4	WO2004006615		A1	2004-01-15	QUALCOMM INCORPORATED		<input type="checkbox"/>
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/N.S./	1	DOCUMENT: "MECHANISMS FOR COUNTING AND RE-COUNTING MBMS USERS IN IDLE/URA PCH-LIKE STATES"; SOURCE: VODAFONE GROUP; 3GPP TSG-RAN/23 JOINT MBMS MEETING #1, WOKINGHAM, BERKS, UK, 15TH-16TH JANUARY 2003; Tdoc R2-030063; PP. 1-2; XP-2255501A.	<input type="checkbox"/>
/N.S./	2	TECHNICAL DOCUMENT: 3GPP TS 25.346 V6.0.0, 03-2004; 3RD GENERATION PARTNERSHIP PROJECT; TECHNICAL SPECIFICATION GROUP RADIO ACCESS NETWORK; INTRODUCTION OF THE MULTIMEDIA BROADCAST MULTICAST SERVICE; (MBMS) IN THE RADIO ACCESS NETWORK (RAN); STAGE 2 (RELEASE 6); PP. 1-50; XP-002307039.	<input type="checkbox"/>
/N.S./	3	TECHNICAL DOCUMENT: 3GPP TS 23.846 2.0.0, 09-2002; 3RD GENERATION PARTNERSHIP PROJECT; TECHNICAL SPECIFICATION GROUP SERVICES AND SYSTEM ASPECTS; MULTIMEDIA BROADCAST/ MULTICAST SERVICE; ARCHITECTURE AND FUNCTIONAL DESCRIPTION (RELEASE 6); PP. 1-114; XP-2258084A;	<input type="checkbox"/>
/N.S./	4	DOCUMENT: "MBMS SCENARIOS - UE LINK HANDLING"; SOURCE: NORTEL NETWORKS/SAMSUNG; 3GPP TSG-RAN2/RAN3 #35 MEETING, SEOUL, KOREA, 7TH-11TH APRIL 2003; PP. 1-7; XP-2292826A.	<input type="checkbox"/>

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	Filing Date		2006-10-12	
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	Art Unit			
	Examiner Name			
	Attorney Docket Number	GB040090		

EXAMINER SIGNATURE			
Examiner Signature	/Nizar Sivji/	Date Considered	01/12/2009
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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul Im/	Date (YYYY-MM-DD)	2007-05-11
Name/Print	PAUL IM	Registration Number	50418

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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